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JC913 U.S. PTO
09/680737
10/06/00Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231Re: METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO
TO RECONSTRUCT MULTICHANNEL AUDIO
Docket No. 262-23-232

Sir:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of WILLIAM P. SMITH, STEPHEN SMYTH and MING YAN entitled METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO RECONSTRUCT MULTICHANNEL AUDIO.

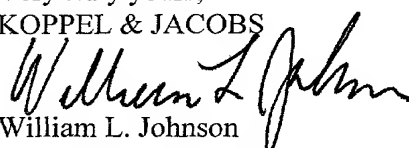
Enclosed are:

19 pages of written description, claims and abstract.
8 sheets of drawings plus 2 copies.
Unsigned Declaration and Power of Attorney.

The filing and recording fees have been calculated as shown below:

Basic Fee				\$710.00
Total Claims	(19 - 20)	x	\$18.00	= 0.00
Independent Claims	(3 - 3)	x	\$80.00	= 0.00
Total Fee:				\$710.00

Our Check No. 15496 for \$710.00 to cover the filing and recording fees is enclosed. We authorize the Commissioner to charge (1) payment of any additional filing fees required under 37 CFR §1.16, (2) payment of any patent application processing fees under 37 CFR §1.17 associated with this communication, or (3) payment of any fees that occur during the pendency of this application (and to credit any overpayment) to Deposit Account No. 11-1580. We enclose a duplicate copy of this sheet.

Very truly yours,
KOPPEL & JACOBS

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WLJ/mm

Enclosures

M27-262-23-232Transmittal ltr.doc

APPLICATION

OF

WILLIAM P. SMITH

STEPHEN SMYTH

MING YAN

FOR

UNITED STATES LETTERS PATENT

ON

METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO
TO RECONSTRUCT MULTICHANNEL AUDIO

Docket No. 262-23-232

ASSIGNED TO

DIGITAL THEATER SYSTEMS, INC.

009007" 4E208960

5 METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO
RECONSTRUCT MULTICHANNEL AUDIO

BACKGROUND OF THE INVENTION

Field of the Invention

10 This invention relates to multichannel audio and more specifically to a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation.

15

Description of the Related Art

Multichannel audio has become the standard for cinema and home theater, is gaining rapid acceptance in music, automotive, computers, gaming and other audio applications, and is being considered for broadcast television. Multichannel audio provides a surround-sound environment that greatly enhances the listening experience and the overall presentation of any audio-visual system. The move from stereo to multichannel audio has been driven by a number of factors paramount among them being the consumers' desire for higher quality audio presentation. Higher quality means not only more channels but higher fidelity channels and improved separation or "discreteness" between the channels. Another important factor to consumer and manufacturer alike is retention of backward compatibility with existing speaker systems and encoded content and enhancement of the audio presentation with those existing systems and content.

The earliest multichannel systems matrix encoded

5 such as Dolby Prologic™ provided surround-sound audio, the audio presentation is not discrete but is characterized by crosstalk and phase distortion. The matrix decoding algorithms identify a single dominant signal and position that signal in a 5-point sound-field accordingly to then
10 reconstruct the L,R,C and S signals. The result can be a "mushy" audio presentation in which the different signals are not clearly spatially separated, particularly less dominant but important signals may be effectively lost.

Having become accustomed to discrete multichannel audio and having invested in a 5.1 speaker system for their homes, consumers will be reluctant to accept clearly inferior surround-sound presentations. Unfortunately only a relatively small percentage of content is currently available in the 5.1 format. The vast majority of content is only available in a two-channel matrix encoded format, predominantly Dolby Prologic™. Because of the large

installation of Prologic decoders, it is expected that 5.1 content will continue to be encoded in the Prologic format as well. Accordingly, there remains an unfulfilled need in the industry to provide a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates "discrete" multichannel audio.

Dolby Prologic™ provided one of the earliest two-channel matrix encoded multichannel systems. Prologic squeezes 4-channels (L,R,C,S) into 2-channels (Lt,Rt) by introducing a phase-shifted surround sound term. These 2-channels are then encoded into the existing 2-channel formats. Decoding is a two step process in which an existing decoder receives Lt,Rt and then a Prologic decoder expands Lt,Rt into L,R,C,S. Because four signals (unknowns) are carried on only two channels (equations), the Prologic decoding operation is only an approximation and cannot provide true discrete multichannel audio.

As shown in figure 1, a studio 2 will mix several, e.g. 48, audio sources to provide a four-channel mix (L,R,C,S). The Prologic encoder 4 matrix encodes this mix as follows:

$$Lt = L + .707C + S(+90^\circ), \text{ and} \quad (1)$$

$$Rt = R + .707C + S(-90^\circ), \quad (2)$$

which are carried on the two discrete channels, encoded into the existing two-channel format and recorded on a media 6 such as film, CD or DVD.

A Prologic matrix decoder 8 decodes the two discrete channels Lt,Rt and expands them into four discrete reconstructed channels Lr,Rr,Cr and Sr that are amplified and distributed to a five speaker system 10. Many different proprietary algorithms are used to perform an active decode and all are based on measuring the power of Lt+Rt, Lt-Rt, Lt and Rt to calculate gain factors Gi whereby,

$$S_r = G_7 \cdot L_t + G_8 \cdot R_t. \quad (6)$$

10 and S channels according to:

$$Spow(t) = C1 * (Lt - Rt) + C2 * Spow(t-1) \quad (10)$$

power levels at the previous instant.

C/S dominance vectors according to:

$$\text{else Dom } L/R = L_{\text{pow}}(t)/R_{\text{pow}}(t) - 1, \quad (11)$$

and

$$\text{else Dom } C/R = C_{\text{pow}}(t) / S_{\text{pow}}(t) - 1. \quad (12)$$

25 The vector sum of the L/R and C/S dominance vectors defines a dominance vector 12 in the 5-point sound field from which the single dominant signal should emanate. The decoder scales the set of gain coefficients at the null point according to the dominance vectors as follows:

$$[G]_{\text{Dom}} = [G]_{\text{Null}} + \text{Dom L/R} * [G]_{\text{R}} + \text{Dom C/S} * [G]_{\text{C}} \quad (13)$$

where $[G]$ represents the set of gain coefficients G_1, G_2, \dots, G_8 .

This assumes that the dominant point is located in the R/C quadrant of the 5-point sound field. In general the appropriate power levels are inserted into the equation based on which quadrant the dominant point resides. The

5 [G]_{Dom} coefficients are then used to reconstruct the L,R,C and S channels according to equations 3-6, which are then passed to the amplifiers and onto the speaker configuration.

When compared to a discrete 5.1 system the drawbacks

10 are clear. The surround-sound presentation includes crosstalk and phase distortion and at best approximates a discrete audio presentation. Signals other than the single dominant signal, which either emanate from different locations or reside in different spectral bands, tend to

15 get washed out by the single dominant signal.

5.1 surround-sound systems such as Dolby AC-3™, Sony SDDS™ and DTS Coherent Acoustics™ maintain the discreteness of the multichannel audio thus providing a richer and more natural audio presentation. As shown in

20 figure 3, the studio 20 provides a 5.1 channel mix. A 5.1 encoder 22 compresses each signal or channel independently, multiplexes them together and packs the audio data into a given 5.1 format, which is recorded on a suitable media 24 such as a DVD. A 5.1 decoder 26 decodes the bitstream a

25 frame at a time by extracting the audio data, demultiplexing it into the 5.1 channels and then decompressing each channel to reproduce the signals (Lr,Rr,Cr,Lsr,Rsr,Sub). These 5.1 discrete channels, which carry the 5.1 discrete audio signals are directed to the

30 appropriate discrete speakers in speaker configuration 28 (subwoofer not shown).

SUMMARY OF THE INVENTION

In view of the above problems, the present invention

provides a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation.

This is accomplished by subband filtering the two-channel matrix encoded audio, mapping each of the subband signals into an expanded sound field to produce multichannel subband signals, and synthesizing those subband signals to reconstruct multichannel audio. By steering the subbands separately about an expanded sound field, various sounds can be simultaneously positioned about the sound field at different points allowing for more accurate placement and more distinct definition of each sound element.

The process of subband filtering provides for multiple dominant signals, one in each of the subbands. As a result, signals that are important to the audio presentation that would otherwise be masked by the single dominant signal are retained in the surround-sound presentation provided they lie in different subbands. In order to optimize the tradeoff between performance and computations a bark filter approach may be preferred in which the subbands are tuned to the sensitivity of the human ear.

By expanding the sound field, the decoder can more
25 accurately position audio signals in the sound field. As
a result, signals that would otherwise appear to emanate
from the same location can be separated to appear more
discrete. To optimize performance it may be preferred to
match the expanded sound field to the multichannel input.

30 For example, a 9-point sound field provides discrete points, each having a set of optimized gain coefficients, including points for each of the L,R,C,Ls,Rs and Cs channels.

These and other features and advantages of the

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decoder 40 and a synthesis filter 42, which together decode the two-channel matrix encoded audio Lt and Rt and reconstruct the multichannel audio. As illustrated in Figure 5 the decoding and reconstruction entails a sequence of steps as follows:

1. Extract a block of samples, e.g. 64, for each input channel (Lt,Rt) (step 50).
- 10 2. Filter each block using the multi-band filter bank 38, e.g. a 64-band polyphase filter bank 52 of the type shown in Figure 6a, to form subband audio signals (step 54).
- 15 3. (Optional) Group the resulting subband samples into the closest resulting bark bands 56 as shown in Figure 7 (step 58). The bark bands may be further combined to reduce computational load.
- 20 4. Measure power level for each of the Lt and Rt subbands (step 60).
5. Compute the power levels for each of the L,R,C and S subbands (step 62).
- 25
$$Lpow(t)^i = C1*Lt + C2*Lpow^i(t-1) \quad (14)$$

$$Rpow(t)^i = C1*Rt + C2*Rpow^i(t-1) \quad (15)$$

$$Cpow(t)^i = C1*(Lt+Rt) + C2*Cpow^i(t-1) \quad (16)$$

$$Spow(t)^i = C1*(Lt-Rt) + C2*Spow^i(t-1) \quad (17)$$

where i indicates the subband, C1 and C2 are the time averaging coefficients, and (t-1) indicates the previous instance.

- 30 6. Compute the L/R and C/S dominance vectors for each subband (step 64).

If $L_{\text{pow}}(t)^i > R_{\text{pow}}(t)^i$, $\text{DomL/R}^i = 1 - R_{\text{pow}}(t)^i / L_{\text{pow}}(t)^i$,
 else $\text{Dom L/R}^i = L_{\text{pow}}(t)^i / R_{\text{pow}}(t)^i - 1$, (18)

and

If $C_{\text{pow}}(t)^i > S_{\text{pow}}(t)^i$, $\text{DomC/S}^i = 1 - S_{\text{pow}}(t)^i / C_{\text{pow}}(t)^i$,
 else $\text{Dom C/R}^i = C_{\text{pow}}(t)^i / S_{\text{pow}}(t)^i - 1$. (19)

7. Average the L/R and C/S dominance vectors for each subband using both a slow and fast average and threshold to determine which average will be used to calculate the matrix variables (step 66). This allows for quick steering where appropriate, i.e. large changes, while avoiding unintended wandering.

8. Map the Lt,Rt subband signals into an expanded sound field 68 of the type shown in Figure 8, which matches the motion picture/DVD channel configuration for speaker placement (step 70).
 A grid of nine points (expandable with greater processor power) identifies locations in acoustic space. Each point corresponds to a set of gain values G_1, G_2, \dots, G_{12} represented by $[G]$, which have been determined to produce the "best" outputs for each of the speakers when the L/R and C/S dominance vectors define a signal vector 72 corresponding to that point.

As defined in equations 18 and 19 above, Dom L/R and Dom C/S each have a value in the range $[-1, 1]$ where the sign of the dominance vectors indicates in which quadrant vector 72 resides and magnitude of the vector indicate the relative position within the quadrant for each subband.

The gain coefficients for signal vector 72 in each subband are preferably computed based on the values of the gain coefficients at the 4-corners of the quadrant in which signal vector 72 resides. One approach is to interpolate the gain coefficients at that point based on the coefficient values at the corner points.

The generalized interpolation equations for a point residing in the upper left quadrant are given by the following equations:

$$[G]_{\text{vector}}^i = D1^i * [G]_{\text{Null}} + D2^i * [G]_L + D3^i * [G]_C + D4^i * [G]_{UL} \quad (20)$$

where D1, D2, D3 and D4 are the linear interpolation coefficients given by:

$D1^i$ = 1-distance between null (0,0) and vector 72,

$D2^i$ = 1-distance between L (0,1) and vector 72,

$D3^i$ = 1-distance between C (1,0) and vector 72, and

$D4^i$ = 1- distance between UL (1,1) and vector 72 where "distance" is any appropriate distance metric.

Although higher order functions could be used, initial testing has indicated that a simple first order or linear interpolation performs the best where the coefficients are given by:

$$D1^i = (1 - |Dom LR^i| - |Dom CS^i| + |Dom LR^i| * |Dom CS^i|)$$

$$D2^i = (|Dom LR^i| - |Dom LR^i| * |Dom CS^i|)$$

$$D3^i = (|Dom CS^i| - |Dom LR^i| * |Dom CS^i|)$$

$$D4^i = (|Dom LR^i| * |Dom CS^i|)$$

This approach has two principal advantages over known steered matrix systems such as Prologic:

- 5 1. By steering the subbands separately, various sounds can be positioned about the matrix at different points simultaneously, allowing for more accurate placement and more distinct definition of each sound element.
- 10 2. The present matrix observes the motion picture/DVD channel configuration of three front channels and two or three rear channels. Thus optimum use is made of a single loudspeaker
- 15 layout for both 5.1/6.1 discrete DVDs, and Lt/Rt playback through the matrix.

While several illustrative embodiments of the invention have been shown and described, numerous variations and alternate embodiments will occur to those skilled in the art. Such variations and alternate

20 embodiments are contemplated, and can be made without departing from the spirit and scope of the invention as defined in the appended claims.

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discrete point further includes a gain value predetermined to produce an optimized audio output at a center surround (Cs) speaker when the subband audio signal is steered to
5 that point in the expanded sound field.

15. A method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that approximates a discrete surround-sound presentation, comprising:

providing two-channel matrix encoded audio that
5 includes at least left, right, center, left surround and right surround (L,R,C,Ls,Rs) audio channels;

subband filtering the two-channel matrix encoded audio into a plurality of two-channel subband audio signals;

10 separately steering the two-channel subband audio signals in an expanded sound field to form multichannel subband audio signals, said sound field having a discrete point for each said audio channel, each said discrete point corresponding to a set of gain values predetermined to
15 produce an optimized audio output at each of L,R,C,Ls,Rs speakers, respectively, when the two-channel subband audio signals are steered to that point in the expanded sound field; and

synthesizing the multichannel subband audio
20 signals in the subbands to reconstruct the multichannel audio.

16. The method of claim 15, wherein the reconstructed multichannel audio comprises a plurality of dominant audio signals that reside in different subbands.

17. The method of claim 15, wherein subband filtering groups the subband audio signals into a plurality of bark bands.

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METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO
RECONSTRUCT MULTICHANNEL AUDIO

ABSTRACT OF THE INVENTION

- 5 The present invention provides a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation. This is accomplished by subband filtering the two-channel matrix encoded audio, mapping
- 10 each of the subband signals into an expanded sound field to produce multichannel subband signals, and synthesizing those subband signals to reconstruct multichannel audio.
- By steering the subbands separately about an expanded sound field, various sounds can be simultaneously
- 15 positioned about the sound field at different points allowing for more accurate placement and more distinct definition of each sound element.

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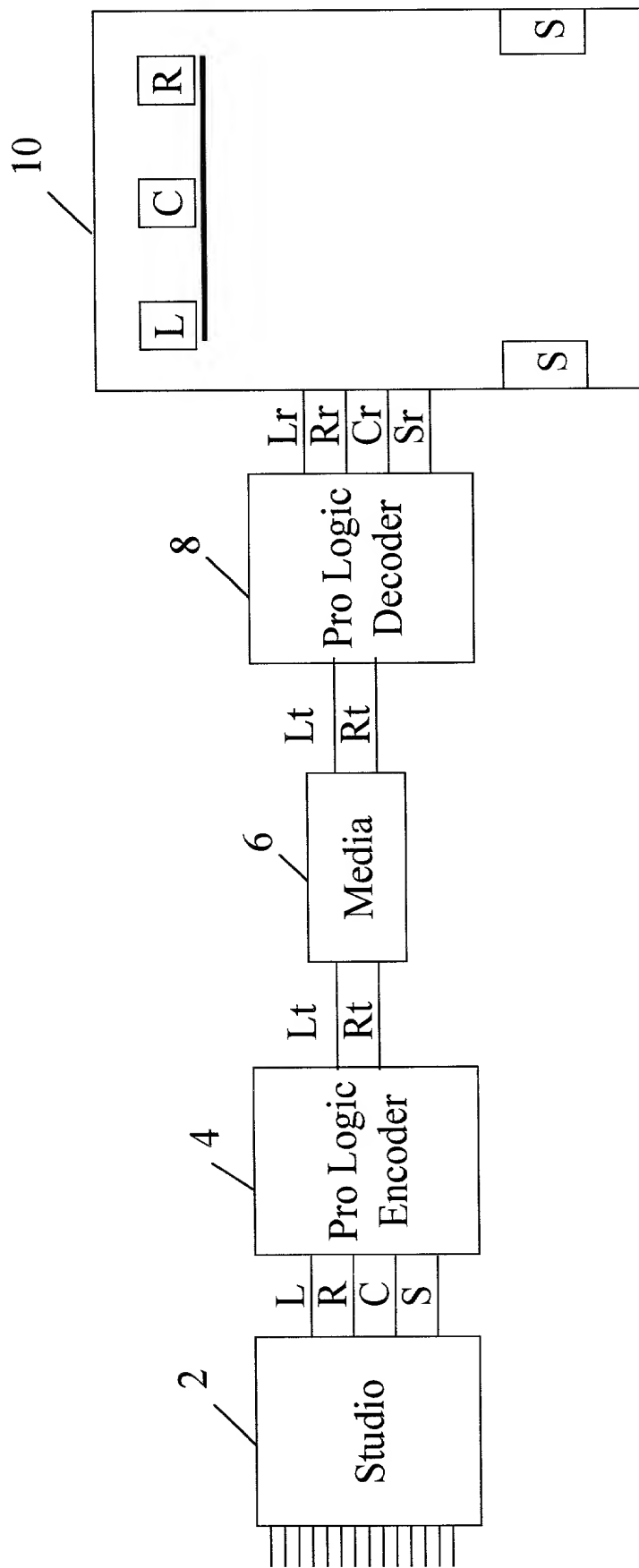


FIG. 1 (Prior Art)

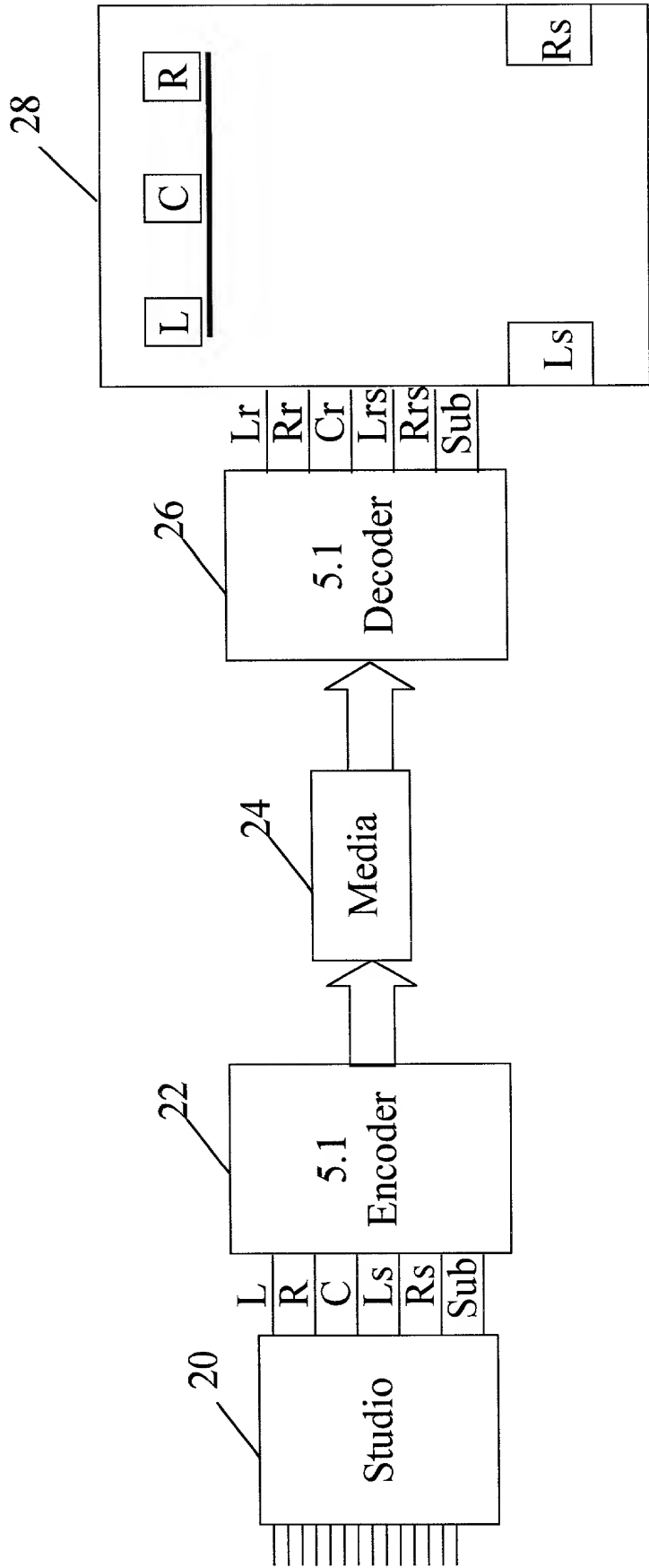


FIG. 3 (Prior Art)

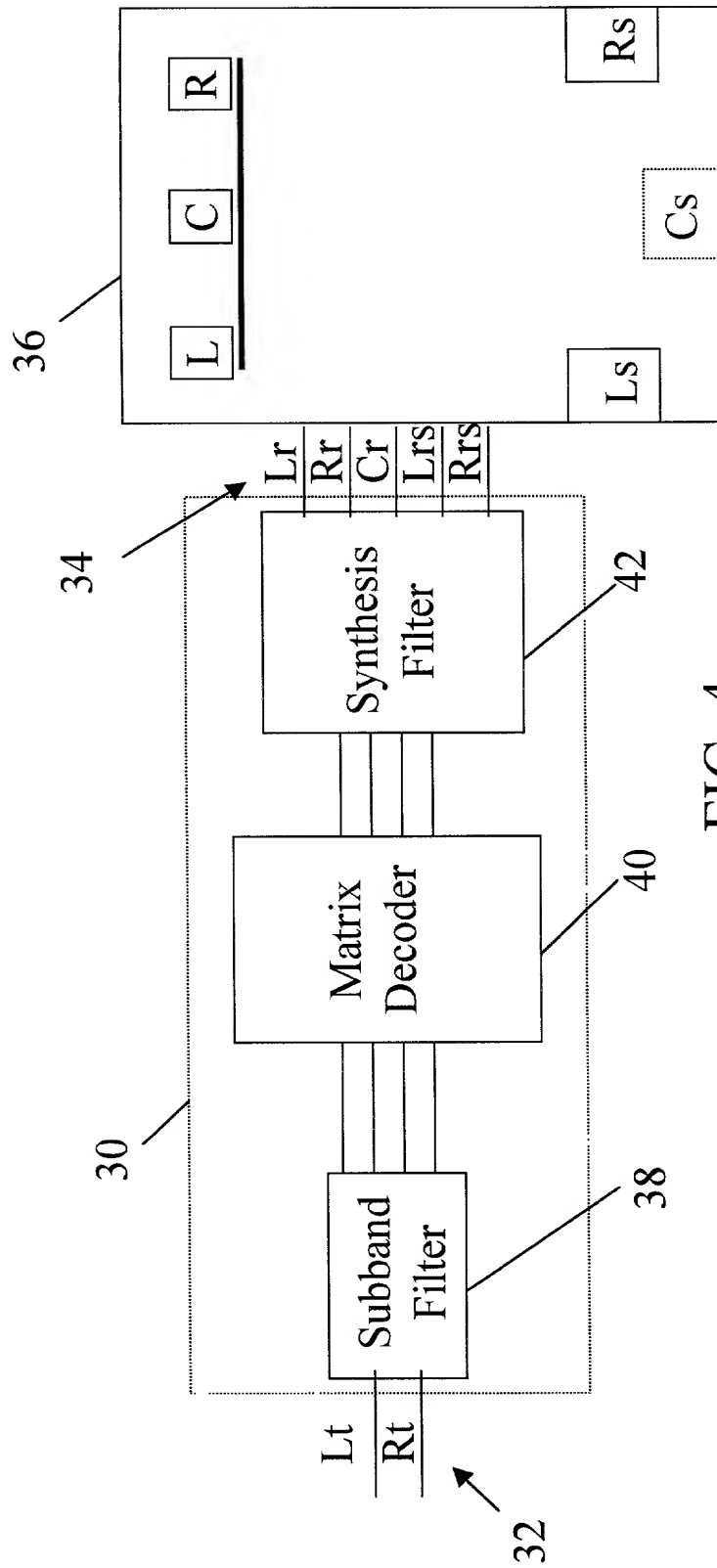


FIG. 4

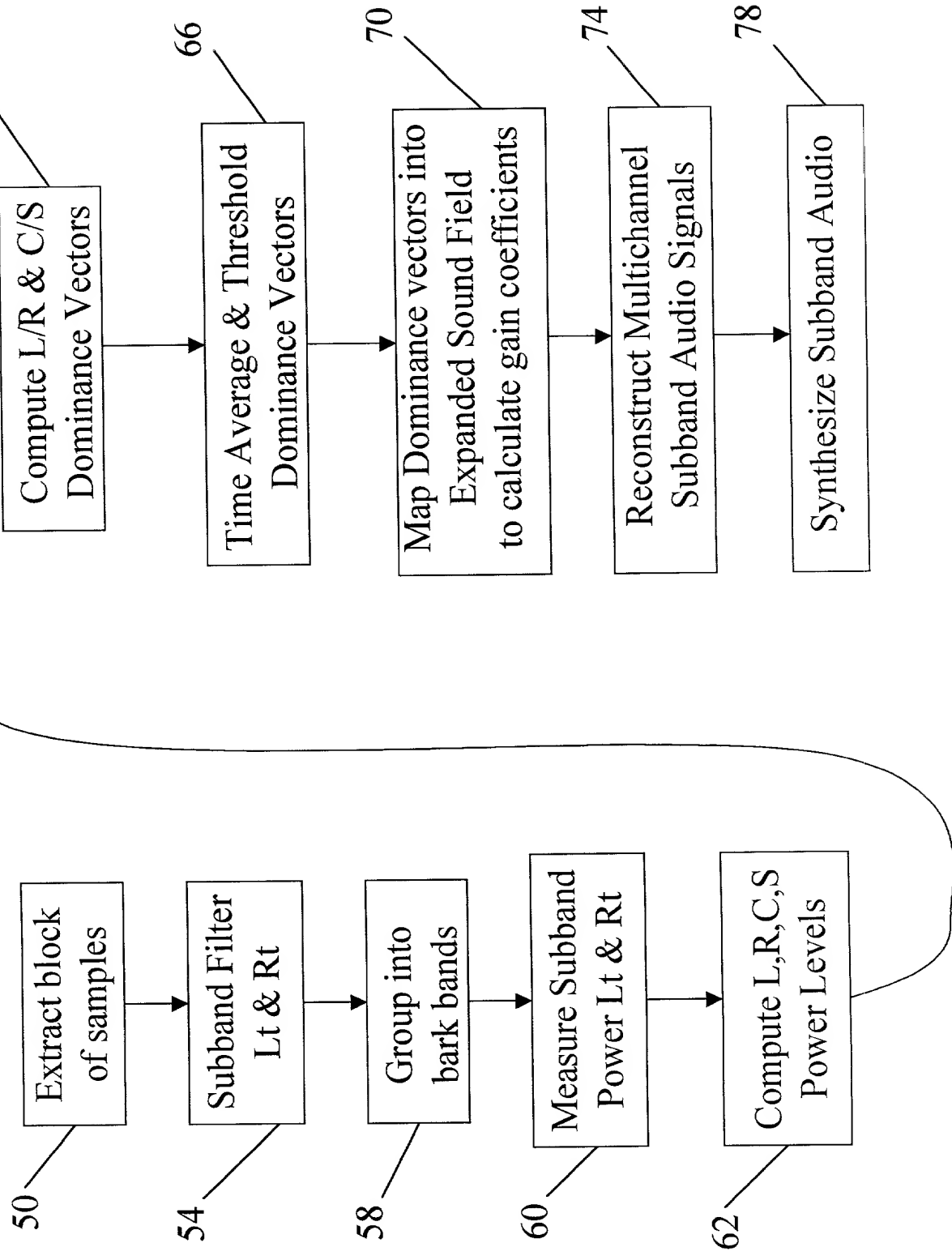


FIG. 5

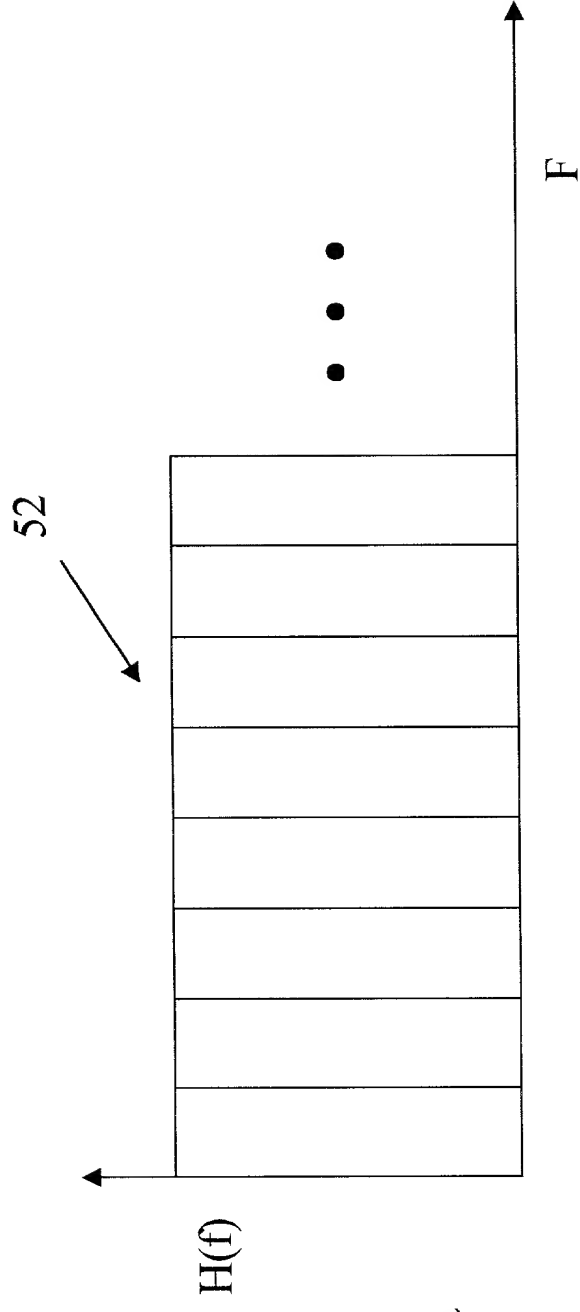


FIG. 6a

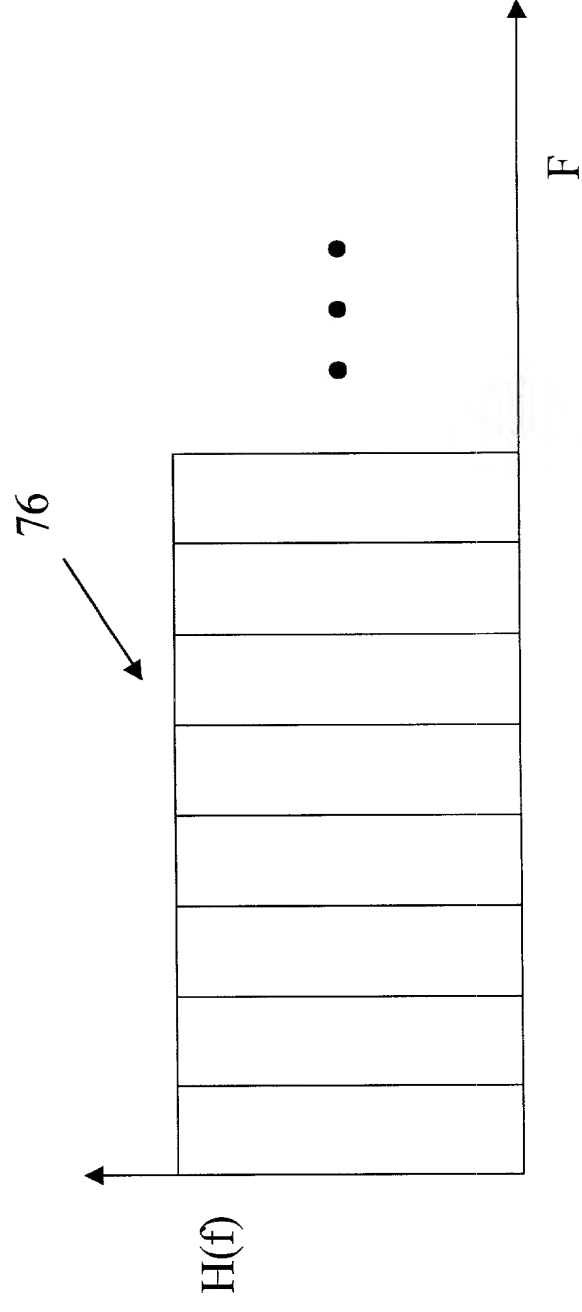


FIG. 6b

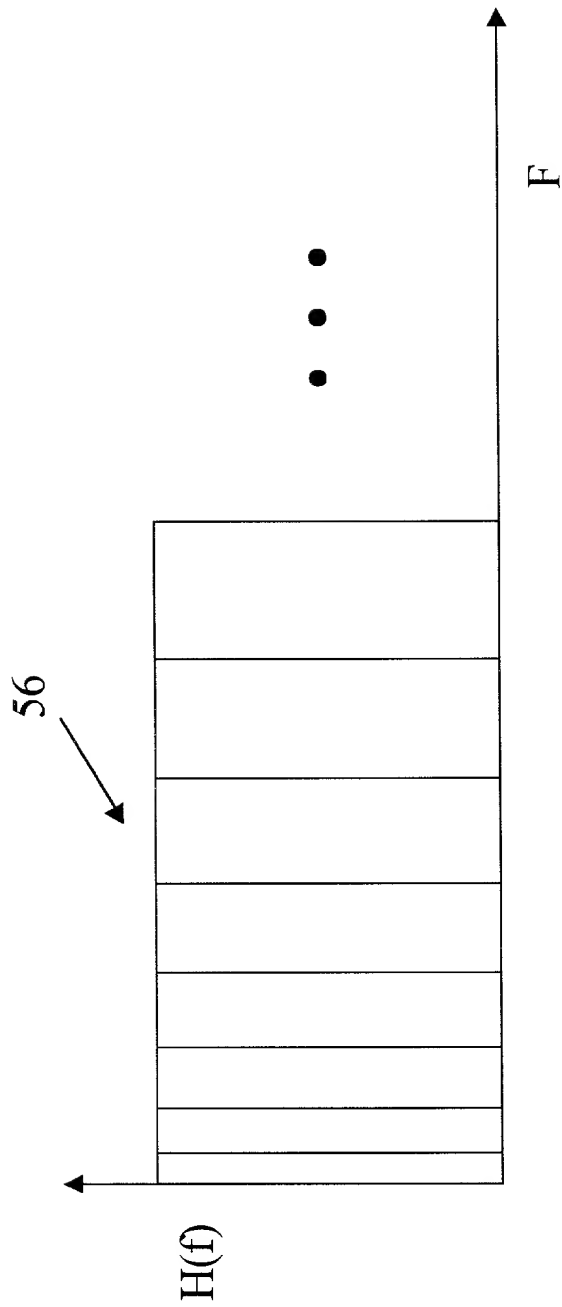


FIG. 7

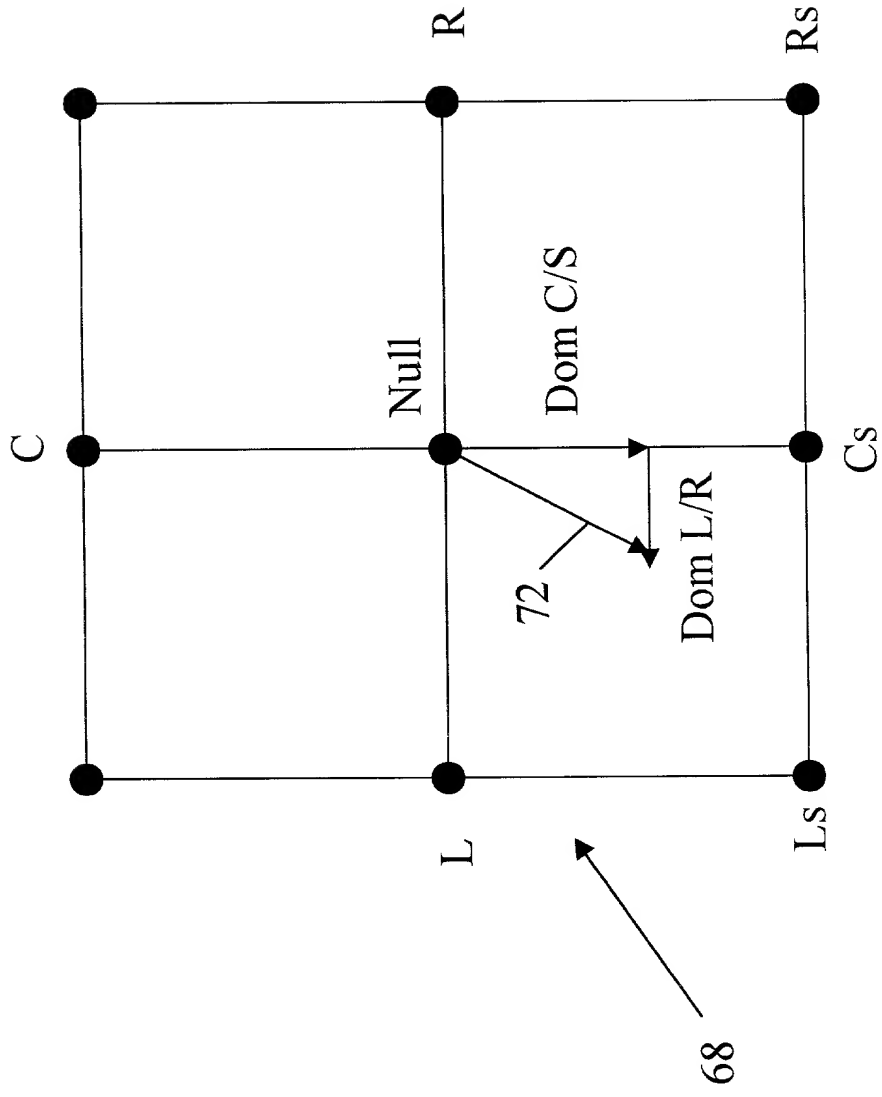


FIG. 8

DECLARATION and POWER OF ATTORNEY

We, WILLIAM P. SMITH, STEPHEN SMYTH and MING YAN, declare: Our address, residence, and citizenship are as stated below next to our names. We believe we are the original, first and joint inventors of the invention entitled "METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO RECONSTRUCT MULTICHANNEL AUDIO" described and claimed in the attached specification. We have reviewed and understand the contents of the specification, including the claims. We acknowledge a duty to disclose information of which we are aware that is material to the examination of this application in accordance with 37 C.F.R. 1.56(a).

We hereby appoint William L. Johnson (Reg. No.41,876) and Richard S. Koppel (Reg. No. 26,475), whose address is:

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Thousand Oaks, California 91360
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our agent and attorney, respectively, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected with the application.

We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of this application or any patent issuing from this application.

Wherefore, we subscribe our names to the foregoing specification, claims and declaration.

Date: _____, 2000 _____

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Citizenship: British

000001 4408960

Post Office Address:

Date: _____, 2000 _____

Post Office Address:

M27-262-23-232Declaration-multi doc

Variable	Mean	SD	Min	Max
Age	34.5	10.2	21	55
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	9	16
Income	15.2	8.5	5	35
Occupation	1.2	0.8	0	2
Health status	1.5	0.5	1	2
Stress level	2.5	1.2	1	4
Life satisfaction	3.5	1.5	1	5
Resilience	4.5	1.5	1	6
Optimism	5.5	1.5	1	7
Gratitude	6.5	1.5	1	8
Forgiveness	7.5	1.5	1	9
Compassion	8.5	1.5	1	10
Kindness	9.5	1.5	1	11
Generosity	10.5	1.5	1	12
Patience	11.5	1.5	1	13
Humility	12.5	1.5	1	14
Modesty	13.5	1.5	1	15
Self-control	14.5	1.5	1	16
Discipline	15.5	1.5	1	17
Perseverance	16.5	1.5	1	18
Determination	17.5	1.5	1	19
Resolve	18.5	1.5	1	20
Willpower	19.5	1.5	1	21
Strength	20.5	1.5	1	22
Courage	21.5	1.5	1	23
Bravery	22.5	1.5	1	24
Valor	23.5	1.5	1	25
Heroism	24.5	1.5	1	26
Leadership	25.5	1.5	1	27
Influence	26.5	1.5	1	28
Power	27.5	1.5	1	29
Authority	28.5	1.5	1	30
Control	29.5	1.5	1	31
Command	30.5	1.5	1	32
Direction	31.5	1.5	1	33
Guidance	32.5	1.5	1	34
Instruction	33.5	1.5	1	35
Teaching	34.5	1.5	1	36
Learning	35.5	1.5	1	37
Knowledge	36.5	1.5	1	38
Understanding	37.5	1.5	1	39
Wisdom	38.5	1.5	1	40
Insight	39.5	1.5	1	41
Intuition	40.5	1.5	1	42
Sensing	41.5	1.5	1	43
Feeling	42.5	1.5	1	44
Thinking	43.5	1.5	1	45
Doing	44.5	1.5	1	46
Being	45.5	1.5	1	47
Having	46.5	1.5	1	48
Experiencing	47.5	1.5	1	49
Knowing	48.5	1.5	1	50
Understanding	49.5	1.5	1	51
Wisdom	50.5	1.5	1	52
Insight	51.5	1.5	1	53
Intuition	52.5	1.5	1	54
Sensing	53.5	1.5	1	55
Feeling	54.5	1.5	1	56
Thinking	55.5	1.5	1	57
Doing	56.5	1.5	1	58
Being	57.5	1.5	1	59
Having	58.5	1.5	1	60
Experiencing	59.5	1.5	1	61
Knowing	60.5	1.5	1	62
Understanding	61.5	1.5	1	63
Wisdom	62.5	1.5	1	64
Insight	63.5	1.5	1	65
Intuition	64.5	1.5	1	66
Sensing	65.5	1.5	1	67
Feeling	66.5	1.5	1	68
Thinking	67.5	1.5	1	69
Doing	68.5	1.5	1	70
Being	69.5	1.5	1	71
Having	70.5	1.5	1	72
Experiencing	71.5	1.5	1	73
Knowing	72.5	1.5	1	74
Understanding	73.5	1.5	1	75
Wisdom	74.5	1.5	1	76
Insight	75.5	1.5	1	77
Intuition	76.5	1.5	1	78
Sensing	77.5	1.5	1	79
Feeling	78.5			